Current understanding of the relationship between periodontal and systemic diseases

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ABSTRACT

Periodontal disease (PD) is among the most common infectious diseases afflicts humans. Its presence has been documented for thousands of years, and it has been the subject of intense study. Progressive periodontal disease is characterized by the destruction of the alveolar bones of the jaws and the other supporting structures of the teeth. As a consequence, PD has been identified as one of the 2 major causes of tooth loss, in addition to dental caries.1 In addition to its effect on oral tissues, PD has been linked to a multiplicity of systemic diseases including cardiovascular disease (CVD), diabetes mellitus (DM), and preterm low-birth weight, and many more including cancer. Although this putative relationship has been reported in many publications, the nature of such a linkage remains to be fully vetted and understood. The concept that oral disease could impact systemic health is not novel. Hippocrates suggested that tooth extraction might cure arthritis. More recently, in 1891, Miller2 reported that bacteria from mouth infections could seed distant sites in the body leading to pathology. As recently as the 1970’s, full mouth extraction for children with leukemia was advocated as a way to prevent sepsis.3

Interest in the subject continued and has led to multiple case-based reports and investigations.2,4,5

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In the late 80’s, dental journals published multiple observational studies to identify systemic diseases in PD patients, and in the 1990’s the term “periodontal medicine” was introduced. At the beginning of the 21st century, dentists started to warn their patients of the potential relationship between PD and a number of systemic diseases. The association was often presented in a one directional way in which PD was suggested to be a contributing factor in the development of the systemic disease, namely, PD and CVD. Alternatively, the relationship between PD and the systemic disease was seen as being bi-directional with each condition capable of modifying the other, namely PD and DM. The literature now contains a myriad of studies documenting and discussing the direct and indirect association between PD and CVD, DM, and preterm low-birth weight, among other systemic conditions. While there is a robust literature noting the coincident occurrence of PD and systemic diseases, critical questions around the etiological role of PD in these conditions remains to be aggressively studied. The purpose of this review is to critically evaluate the current knowledge on the relation between PD and systemic diseases, analyzing the literature and to generate a statement based on the available evidence.

**Periodontitis.** Periodontal disease is a heterogeneous, multifactorial, chronic inflammatory process affecting 10-15% of the general population. It is defined as the bacterial (microbial biofilm) and inflammatory destruction of gingiva and teeth supporting structure including bone and periodontium (Figure 1). Periodontal disease affects quality of life by reducing chewing function, impairing aesthetics, and inducing tooth loss. Plaque bacteria are the major drivers of PD pathogenesis, specifically gram negative bacteria such as *Porphyromonas gingivalis* (Pg) and *Fusibacterium nucleatum* (Fn).

The PD starts with the accumulation of bacteria within tooth surface dental plaque, which release cell wall products (lipopolysaccharides; LPS and endotoxins), which activate the body immune cells, including monocytes, to produce pro-inflammatory mediators (interleukin 1β [IL-1β], IL-6, and tumor necrosis factor-α [TNF-α]). Initial gingival inflammation is considered to be a protective mechanism against bacterial invasion. When inflammation, in susceptible individuals, fails to resolve, it transforms into a chronic pathological periodontal process in which, bacterial antigens are presented to and processed by cells of the adaptive immune system including macrophages and dendritic cells. This results in the release of a significant amount of inflammatory mediators including C-reactive protein (CRP), fibrinogen, and various cytokines that contribute to the chronic nature of the disease and destruction of periodontal tissues.

Early PD may be managed by non-surgical therapy that includes scaling, root planning, and local or systemic antibiotics aimed to control periodontal inflammation. Failure to resolve periodontal inflammation, or relapse of PD may require surgical

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**Figure 1** - Clinical comparison between healthy and periodontitis patients A) frontal intra-oral view for a patient with gingival health and stable periodontium demonstrating normal gingival color, marginal gingival level and intact inter-dental papillae; B) periapical radiographs for the patient in A, showing stable periodontium, normal bone level and absence of vertical bone defect; C) frontal intra-oral view of a patient with moderate to severe periodontitis presenting as loss of attachment (triangle), recession (arrow) and gingival edema (brace); D) periapical radiographs for the patient in C, showing calculus accumulation, horizontal bone loss (arrow) and vertical bone defect (triangle).
intervention. The surgical phase of periodontal therapy focuses on periodontal pocket elimination, modifying alveolar bone architecture and creating better accessibility for home oral care.

Assessment and diagnosis of PD is based on multiple parameters, but most importantly probing depth and clinical attachment loss. The severity of PD can be staged as mild, moderate, or severe, in addition to being localized or generalized. Considering the chronic and episodic nature of PD, most of the available assessment tools measure the cumulative effect of the disease rather than the current disease activity. Thus, longitudinal studies are of particular value to assess PD, and eventually any causality relation with systemic disease.

**Biological plausibility.** As already noted, a connection between PD and systemic disease has been discussed extensively in the literature. The association was based on a holistic concept in which the body was viewed as an inclusive system, with multiple and intense inter-organ biological processes including the mouth. The initial mechanism proposed under the guise of the “focal infection theory” suggested that oral bacteria would infiltrate into the blood stream and then migrate to different body organs where their presence might contribute to multiple maladies such as arthritis or endocarditis. Interventional strategies were developed including the introduction of antibiotic prophylaxis for the prevention of sub-acute bacterial endocarditis. Overall, however, the failure of systemic antibiotics in effectively mitigating systemic infections created significant doubt as to the merits of the hypothesis.

There are currently 2 postulated mechanisms to support a link between PD and systemic disease: 1) metastatic infection and dissemination of bacterial toxins; and 2) immunological injuries and inflammation. Metastatic infection seems, in some ways, remarkably similar to the focal infection theory. It is characterized by the systemic spread of bacteria and/or bacterial products from an oral source. One way for oral bacteria to gain access into blood stream is via diseased and ulcerated gingival pockets as a result of PD. Oral bacteria survives in the blood stream, and had the ability to adhere to non-oral sites, resulting in a systemic disease event.

The second mechanistic theory suggests that systemic damage is the consequence of an inflammatory cascade, which is initiated in the mouth. When leukocytes and endothelial cells encounter bacterial virulence antigens in the blood stream, they secrete pro-inflammatory mediators including CRP and prostaglandin (PG). With continuous exposure, bacterial antigens form and deposit immune complexes with the help of circulating antibodies to amplify systemic inflammation. Immune cells including TNF-α, IL-6, and IL-1β produce more pro-inflammatory cytokines. These cytokines are generally associated with tissue destruction and osteoclastogenesis. Under the influence of inflammatory cytokines, PG are also released from diseased gingiva and periodontium to stimulate local bone resorption, and play a role in systemic inflammation, platelet aggregation, and endothelial cell activation. The presence of a general inflammatory state in which, high levels of pro-inflammatory cytokines abound, has been associated with a number of systemic diseases including atherosclerotic vessel diseases, gastrointestinal ulcer formation, and cancer.
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with odds ratio (OR) of 2, and by DeStefano et al43 with 25% risk to develop CVS in the setting of PD. A recent longitudinal study has also indicated PD to be a risk factor for future ASD in patients with CVD with OR of 3.6.44 A list of recent studies discussing the impact of PD on CVD can be found in Table 1.

Among the numerous hypotheses to describe the pathogenesis of a possible PD/ASD link is the comprehensive one proposed by Reyes et al.45 They suggest that oral bacteria, or their products which have gained access into blood stream stimulate the release of pro-inflammatory mediators46 with consequent endothelial activation or dysfunction and atheroma formation.47,48 Subsequently, the formed atheroma mature, host bacterial products, which leads to the production of antibodies that may cross-react with endothelial cells and promote helper-T cells (Th1) response. As a result, macrophages are activated, inflammation is exaggerated and an ASD event results.

However, it has been very challenging to differentiate the role of oral bacteria from the inflammatory response as a contributing factor in this process. The observation that, in animal models, the uses of anti-inflammatory blockers mitigate the development of atheromas39 seems consistent with the hypothesis.39 Furthermore, recent human studies demonstrated that patients with elevated serum Pg-antibodies (IgG) have higher blood pressure (systolic and diastolic), as well as periodontal bacteria in atheroma plaques.49,50

The impact of periodontal therapy on systemic condition outcome, including CVD, has been tested in few interventional studies. Non-surgical periodontal therapy has shown to elicit an initial, transient elevation in inflammatory and pro-thrombotic mediators and overall decrease of endothelial function as a result of bacteremia.51 This was followed by a reduction of inflammatory markers on both, a local and systemic level.52 A recent systematic review by D’Aiuto et al51 on the effect of periodontal therapy on CVD biomarkers have concluded that there is no or limited evidence for periodontal therapy to reduce subclinical markers including CD40, serum amyloid A, leukocyte count, fibrinogen, arterial blood pressure, and CVD events.51 In addition, there is moderate evidence that periodontal therapy helps in reducing CRP levels and improves endothelial dysfunction. This finding was supported by The American Academy of Periodontology (AAP) workshop statement on the effect of periodontal therapy, which concluded that: “there is moderate evidence for reduction of systemic inflammation as evidenced by

Table 1 - Recent studies reporting on the impact of periodontal disease on cardiovascular disease.

<table>
<thead>
<tr>
<th>Author (date)</th>
<th>Study description and outcome</th>
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<tr>
<td><strong>The presence of periodontal pathogens in cardiovascular samples</strong></td>
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<tr>
<td>Kozarow et al (2006)73</td>
<td>29 patients (129 samples) with atheromatous plaques were tested using PCR. The study results showed the presence of AA in 55.5% of samples, Pg in 88.8% of samples, and Td in 33.3% of total samples</td>
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<tr>
<td>Figuero et al (2011)74</td>
<td>42 patients (42 samples) with atheromatous plaques were tested using PCR. The study results showed the presence of AA in 66.7% of samples, and Pg in 78.6% of total samples</td>
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<td><strong>The effect of PD on systemic inflammatory mediators levels</strong></td>
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<tr>
<td>Taylor et al (2006)75</td>
<td>A total of 67 patients with advanced PD had clinical examination and serological analysis. The level of serum CRP and plasminogen-activator inhibitor-1 decreased significantly following full-mouth extraction</td>
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<tr>
<td>Pejic et al (2011)76</td>
<td>A total of 50 periodontitis patients (with additional 25 periodontitis-free patients) had clinical examination and serological analysis (with follow up of 12 months following periodontal therapy). An increase in serum CRP level in chronic periodontitis patients was reported. Periodontal therapy resulted in decrease in CRP level compared to control</td>
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<tr>
<td>Nakajima et al (2010)77</td>
<td>A total of 78 periodontitis patients (with additional 40 periodontitis-free patients) had clinical examination and serological analysis. The levels of serum CRP, fibrinogen, and IL-6 were decreased following periodontal therapy</td>
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<td><strong>Epidemiological studies testing the impact of PD and periodontal therapy on CVD</strong></td>
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<tr>
<td>Dorn et al (2010)74</td>
<td>A cohort, prospective study with 884 subjects who received a life-style questionnaire and clinical examination. Using CAL and PPD parameters in this study, the author was able to demonstrate an association between secondary CVD events (total 154 events) and PD in the never-smokers group (HR=1.43)</td>
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<tr>
<td>Xu and Lu (2011)79</td>
<td>A cohort, retrospective study with 3666 subjects with moderate to severe PD. Using CAL and PPD parameters, the author reported higher incidence of CVD in subjects with PD (HR=2.13)</td>
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PD - periodontal disease, PCR - polymerase chain reaction, AA - actinobacillus actinomycetemcomitans, Pg - porphyromonas gingivalis, Td - treponema denticola, HR - hazard ration, CVD - cardiovascular disease, CRP - C-reactive protein, CAL - clinical attachment loss, PPD - probing depth
reduction in CRP and improvement of both clinical and surrogate measures of endothelial function". The group also indicated that there is moderate evidence that periodontal therapy doesn’t affect lipid profile, and there is limited evidence for a beneficial effect on blood pressure and subclinical CVD. On the other hand, recent data from insurance claims presented at the last International Association of Dental Research (IADR) meeting (Jeffcoat M, Jeffcoat R, Gladowski P, Bramson J, Blum J. Periodontal therapy improves outcomes in systemic conditions: insurance claims evidence. Oral abstract presented at IADR meeting, Charlotte, NC, 2014. Unpublished data), indicated that periodontal therapy can lead to a reduction in total cost and hospital admission for patients with diabetes, CVD, cerebrovascular disease, and pregnancy. The study looked at data from 338,891 subscribers to both medical and dental insurance plans.

To date, there are no trials that have studied the efficacy of periodontal therapy as a primary preventive intervention for CVD. A somewhat contradictory conclusion supporting a PD/ASD association was reached by Johansson et al who followed patients established histories of ASD and PD for up to 8 years. At the study endpoint, while there was no difference in significant cardiac events between ASD and control patients, at the final periodontal examination, the PD prevalence and severity in ASD patients was significantly higher compared with healthy individuals. This finding is consistent with a conclusion that there is no association between PD and CVD, based on disease events. In addition, this may support the possibility that CVD patients are generally at risk for PD due to life style trending that may be common to the etiology of both diseases. There is currently no evidence showing that treating PD results in the prevention or progression CVD. This was supported recently (2012) by an American Heart Association (AHA) statement based on current evidence where oral bacteria does not cause or exacerbate acute CVD. In addition, the promotion of dental/periodontal therapy to prevent CVD was not recommended.

Evaluation of the current evidence. Since first broached in 1891, a causal relationship between PD and systemic disease has been the subject of numerous studies. The potential appeal of such an association may have resulted in results of these trials receiving a less rigorous assessment as to their meaningfulness than might have been expected. In fact, in 2009, a joint AAP and AHA consensus was published in with recommendation on the link between PD and CVD. This consensus advised that: 1) patients with moderate to severe periodontitis should be informed of the risk of CVD associated with PD; 2) patients with moderate to severe periodontitis, and have one major risk factor for CVD, should consider medical evaluation every year; 3) patients with periodontitis and 2 major risk factors for CVD should be referred for medical evaluation if one had not been performed within the last 12 months. This statement seems less realistic as contradictory evidence is now emerging, which has revealed multiple compounding factors that could impact study outcomes. Despite the fact that the term “association” should not imply causality, the terms “association” and “causation” have often been used interchangeably in the literature. On the other hand, causality is defined as the connection between 2 events, in this case PD and systemic disease, in which one event leads to or brings about the other. The current literature broadly assesses epidemiological analyses and interventional, cross-sectional and retrospective studies which address the association or causality relation between PD and systemic diseases. Retrospective and cross-sectional studies have limited value in identifying an association and cannot confirm causality. On the other hands, interventional and prospective longitudinal studies are more likely to provide a more rigorous platform to objectively evaluate PD/systemic disease relationships and are no more critical then ever.

One variable that was largely under-addressed prior to 2002 was the lack of uniformity in the criteria that have been used to define the presence or absence of PD in study populations. Tooth loss, as a surrogate measure for PD, and probing depth and clinical attachment loss, and radiographic outcomes were often randomly assigned as defining PD severity. In an attempt to standardize PD severity in the context of epidemiological studies, especially for the purpose of identifying an “association” or “causality”, a threshold for PD diagnosis was set and unified. The universal agreement on PD criteria, developed by the Centers for Disease Control and Prevention in partnership with the AAP for research purposes, included the presence of ≥2 interproximal sites with clinical attachment loss (CAL) of ≥4 mm OR ≥2 interproximal sites with probing depth (PPD) of ≥5 mm (Figure 2). For studies using radiographic assessment, alveolar bone loss of ≥40% is accepted for PD case inclusion criteria. This definition however, captures moderate to severe PD only. As a result, the definition has been updated in 2012 to include a definition for “mild” PD for better description of overall prevalence of PD. The mild form of PD was defined as ≥ 2 interproximal sites with CAL ≥ 3 mm and ≥ 2 interproximal sites with PPD ≥ 4 mm (not
on the same tooth) or one site with PD ≥5 mm. The heterogeneous definition of PD severity in clinical trials is a major confounding factor that explains the diversity in studies findings. Most conducted studies have used “total periodontitis” allocating all cases to one entity, rather than identifying PD severity as mild, moderate, and severe. Dangerously, such study designs could result in the dilution of study outcome, as more mild cases are included rather than moderate or severe ones.

The complexity of oral flora should also be considered as an additional confounding factor. The oral cavity has more than 700 species of bacteria, and only 5% are considered strongly associated with PD.\textsuperscript{59-61} In addition, the intermittent and episodic nature of disease activity may hamper the identification of the causative agent. Previous studies detected periodontal bacteria in carotid atheromas, and distal to the source using PCR and DNA testing.\textsuperscript{62} Eight studies indicated a correlation between subgingival microbiota and pathogens detected in atheromas. If the bacteria survive the elimination by immune system, it may disseminate virulence factors to act as antigens and initiate systemic inflammation.\textsuperscript{3} However, the role of these bacterial components is still to be confirmed as part of systemic disease pathogenesis. It is possible that atheromic bacteria are initiating an inflammatory response leading to tissue damage, as previous evidence indicated the presence of viable bacteria in atheromas, or simply being inactive while in blood vessels. The patient infectious disease history and total pathogen burden could be another confounding factor. Infectious and inflammatory stimuli from other infections can induce a chronic systemic impact similar to PD.\textsuperscript{63} The impact of PD on systemic diseases may be confounded by other multiple factors. Factors that are commonly overlooked and considered co-morbidities are diabetes and smoking. Few studies controlled for smoking, or conducted the study in never-smokers, and demonstrated excess risk for CVD. However, excess risk could be related to unknown confounding factors.

Biomarkers have become popular surrogates for assessing the presence and severity of many diseases, including those under discussion. Typically these measure plasma levels of proteins thought to correlate with the presence or severity of a targeted illness. Their ease of attainment, the relatively low cost of assays, and their utility in some very specific diseases, have contributed to biomarker assay attractiveness. However, biomarkers often fail to critically evaluate or define the presence or progression of disease. A more accurate pathway will be investigating the disease events and outcomes. C-reactive protein (CRP) provides an example. As an inflammatory marker, CRP is well
documented in the literature, where studies have been cross-sectional in nature, and show a positive association between CRP and inflammatory disease.\textsuperscript{64-66} The CRP is a non-specific, type-1 acute phase protein that has been associated with trauma, inflammation, and infection.\textsuperscript{67} It plays an important role in the innate immune response via opsonization and complement activation. The CRP levels can be detected in the serum, which are more accurate, in addition to gingival crevicular fluid.\textsuperscript{68} Interventional PD studies have demonstrated conflicting results in relation to CRP level change. Few studies have indicated a significant increase in CRP level, immediately following non-surgical periodontal therapy, while others demonstrated a reduction in CRP level.\textsuperscript{69,70} Meta-analysis conducted by Loannidou et al\textsuperscript{1} concluded that the available body of evidence does not support the hypothesis that periodontal therapy can reduce serum CRP level. Contrastingly, a recent, well-designed interventional study, which included 3 groups of healthy, gingivitis and mild periodontitis patients demonstrated a decrease in CRP serum level at the 3 months time point after periodontal therapy.\textsuperscript{70} No further follow up was carried out for these patients. This observation was noted in most CRP-level studies, where the follow up data is limited to 6 months following periodontal therapy. The question remains as to what will happen beyond the study end point, what happens after one year or 5 years? More importantly, if CRP is increased in PD patients, does it act as a predictor for systemic disease, or is it merely a coincident finding in patients who also develop other inflammatory diseases? The current evidence fails to support a hypothesis suggesting that increased CRP levels in the face of PD are predictive of subsequent systemic disease.\textsuperscript{72}

**Conclusions and clinical implications.** Systematic reviews suggest that the presence of PD may be independently associated with a risk of multiple systemic diseases. Retrospective epidemiological studies and animal studies indicate a connection with biological plausibility. However, the clinical proof of causality will be extremely difficult in this setting due to multiple reasons. First, the initiating factor for systemic disease could be overlooked, as disease in the early phase is usually asymptomatic. Second, the proposed infection and inflammation role in causing systemic disease could be originating from sites other than the oral cavity. Lastly, most of the conducted studies are generating mixed results, which requires deeper evaluation of study design method.

The available evidence supporting the association between PD and systemic diseases is relatively immature at this point. The best available evidence today indicates that infection and inflammatory reaction associated with PD may contribute toward systemic disease. Further studies are needed for confirmation. To summarize, this is what is known so far: 1) Strong evidence suggests that PD is associated with systemic diseases; 2) The available epidemiological and animal studies indicate PD increases the risk for systemic disease; 3) No causality between PD and systemic is confirmed so far, although it may exists based on one of the proposed mechanisms mentioned earlier; 4) Periodontal therapy overall has a favorable effect on systemic disease subclinical markers, despite heterogeneous response; 5) Interventional studies are needed to confirm the biological plausibility of PD to directly or indirectly cause systemic disease.

The current gap in knowledge, and the relation between PD and systemic disease may impact the provided dental care to patients overall. However, decision making for the type and necessity of periodontal therapy should be based solely on the patient’s periodontal needs. Until then, it is crucial to have a consensus by the dental and medical community on the best statement to discuss with patients based on the available evidence.

Future research should focus on understating the exact role of oral bacterial and inflammation in systemic pathogenesis. More interventional, periodontal, longitudinal studies are needed to investigate the effect of PD exposure on systemic disease development and outcome. Factors to consider while designing any clinical trial are standardization of the criteria for PD diagnosis, periodontal therapy outcome, and systemic disease risk markers.

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**References**

2. Miller W. The human mouth as a focus of infection. *The Dental Cosmos* 1891; 33: 689-713.
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